



# Study Of Clinical Characteristics and Risk Determinants Of Patients With Prosthetic Valve Thrombosis: A Tertiary Care Hospital-Based Observational Study

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## Abstract

**Introduction:** Prosthetic valve thrombosis (PVT) is a rare but serious complication of valve replacement, most often encountered with mechanical prostheses. The present study aims to evaluate patients with prosthetic valve thrombosis in terms of the clinical characteristics, risk determinants, treatment, and outcomes in the prospective observational study.

**Material and Methods:** The observational study was conducted in a tertiary care center. All patients suspected of having prosthetic valve dysfunction underwent clinical, echocardiography, and fluoroscopic examination. Data regarding risk determinants, clinical characteristics, and response to treatment was categorized on the basis of serial echocardiography and fluoroscopy into 3 classes; complete response, partial response, and failed treatment.

**Result:** Out of 29 patients 17 (59%) were females and 12 (41%) were males. The mean age was 46.6 years with an age range from 20-80 years. 15 (52%) patients were post MVR, 9 (32%), post DVR and 5 (17%) were post AVR. Dyspnoea was the presenting complaint in all the patients presenting with valve thrombosis. Mitral valve thrombosis was seen in 19 (65%), aortic valve thrombosis in 8 (28%), and tricuspid valve thrombosis in 2 (7%). 86% of patients had sub-therapeutic INR. Intensification of anticoagulation and thrombolytic therapy was the treatment modalities deployed. 76% of patients received thrombolytic therapy and streptokinase was the most common agent used in 82% of patients. Majority of patients presented with symptoms of NYHA class III. 62% of patients showed complete response, 24% of patients showed partial response, and 4 (14%) had no response to lytic therapy. 7 out of 29 patients landed up in complications. 4 out of 29 patients had minor bleeds in the form of oral mucosal ooze and haematuria. 3 patients succumbed to complications, one of whom had a major bleed in the form of intracranial hemorrhage and two had worsening of heart failure.

**Conclusion:** The most important risk factor attributed to the occurrence of prosthetic valve thrombosis was non-compliance to treatment leading to subtherapeutic INR. Mitral valve thrombosis was most commonly seen and Fibrinolysis can be considered as effective therapy even in patients presenting with NYHA Class III/IV especially in resource-stricken developing countries.

**Keywords:** Prosthetic Valve Thrombosis; PVT; Clinical Characteristics; Risk Determinants; Sub-optimal Anticoagulation; Cine-fluoroscopy

## Introduction

Prosthetic valve replacement is the mainstay of treatment for patients with severe symptomatic valvular heart disease but in the process, the native valvular disease is exchanged with prosthesis-related complications. The prosthetic valve dysfunction due to the formation of thrombus, pannus formation, infective endocarditis or paravalvular leak [1]. Prosthetic valve thrombosis (PVT) is

a rare but serious complication of valve replacement, most often encountered with mechanical prostheses. PVT has been further divided into Obstructive and Non-Obstructive PVT. The incidence of obstructive PVT for mechanical valves varies between 0.3-1.3-3% patient years[2,3]. The incidence ranges from 0.1% to almost 6% per patient-year for left-sided valves and up to 20% for tricuspid valves[4].

The etiology of PVT is complex and multifactorial. The Sub-optimum anticoagulation is the major cause of prosthetic valve thrombosis in addition to type and position of the prosthetic valve, presence or absence of pro-thrombotic states like atrial fibrillation, and ventricular dysfunction[5,6].PVT may present with progressive dyspnea, signs of heart failure, or systemic embolization, or may be incidentally diagnosed during the routine echocardiographic follow-up. Various modalities aid in the diagnosis of PVT. Trans-thoracic echo (TTE) is the initial screening tool. Trans-esophageal echo (TEE) and Cine-fluoroscopic leaflet mobility profile are further done to establish the pathology[4][6-8]. Various Treatment modalities for treat prosthetic valve dysfunction include intensification of anticoagulation, thrombolytic therapy, surgical thrombectomy, and prosthetic valve replacement. However one should try to prevent this dreadful complication by meticulous monitoring of patient profile and symptomatology at every clinical visit. We conducted a prospective observational study of all patients presenting with PVT. Patients with prosthetic valve thrombosis were diagnosed based on standard criteria and clinical characteristics, risk determinants, treatments, and outcomes were assessed.

## Material and Methods

This was a prospective observational study conducted in a tertiary care institute, The study was duly approved by the institutional review board and ethical committee.

### Inclusion Criteria

All consecutive eligible participants consenting to participate suspected of having prosthetic valve dysfunction based on clinical, echocardiographic, and fluoroscopic findings would be screened for enrollment in the study.

### Exclusion Criteria

Patient not consenting to participate in the study.

### Definition Of Pvt

It was defined on 3 criteria; Clinical, Echocardiographic and Fluoroscopic.

#### A. Clinical Criteria:

- 1) Shortness of breath
- 2) Features of systemic embolization.

#### B. Echo Criteria

- 1) Increased gradients when compared with the reference values.
- 2) Prosthetic valve thrombus was recognized as soft and homogeneous, mobile or fixed echo densities located at the valve occluder and/or valve struts. The largest diameter of the thrombus as well as the length of the mobile portion, if present was measured.
- 3) A diagnosis of pannus formation was made when fixed, bright echo dense structures, sometimes containing focal calcific

deposits, were present primarily along the valve ring with extension into the valve ori

### C. Fluoroscopic Criteria

Each prosthesis was viewed in multiple projections including caudocranial angulations, which allowed proper visualization of prosthesis leaflet motion; that opening and closing angles were calculated. A short cine fluoroscopic film( usually 3 to 10 cardiac cycles) at 50 frames/s was recorded.

### Response to Treatment

**Complete Response** - Complete success was defined as normal or near-normal transvalvular gradient and restoration of normal leaflet motion on fluoroscopy without any major complications and clinical improvement in symptoms

**Partial Response** - Partial success was defined as a reduction of > 50% of transvalvular gradient from the baseline or complete hemodynamic response with major complication or restricted movement of prosthetic valve leaflets on cine fluoroscopy even though the transvalvular gradients completely normalized.

**Failed Treatment** - Failure of TT was defined as no hemodynamic response even with extended thrombolysis (up to 72 h) or death by major complications.

### Predefined Complications :

- All-cause in-hospital mortality.
- Non-fatal minor complications-bleeding without need for transfusion; TIA.
- Non-fatal major complications-ischemic stroke, ICH, embolism(coronary or peripheral), bleeding requiring transfusion.

### Various Treatment Regimes for Thrombolytic Agents:

1. Streptokinase regimen- 2.5 lakh IU intravenous bolus for 30 minutes followed by slow intravenous infusion at the rate of 1 lakh IU/hr
2. Tenecteplase -slow intravenous infusion for 0.5mg/kg as an intravenous infusion for up to 72 hours in failed cases.
3. Alteplase- slow intravenous infusion of 0.5mg/kg/hr.

### Response to Treatment :

1. Serial echocardiography - Bedside echocardiography was done every six hours and gradients and valve area was recorded
2. Serial fluoroscopy was done every 12 hours and opening and closing angles were determined.

Once the complete response was achieved, infusion of thrombolytic agents was stopped or was continued till 72 hours in cases of failed or partial response. Premature cessation of

thrombolytic therapy was done in cases of non-fatal minor or major bleeding. After successful thrombolysis, injection of low molecular heparin was given subcutaneously in doses of 1 mg/kg bid. Acenocoumarol was initiated. Both low molecular weight heparin and acenocoumarol were continued till PT/INR achieved a therapeutic range of 2.5-3.5.

### Non-Compliance To Treatment

1. Regular - patient taking drugs (especially acenocoumarol) daily without fail.
2. Irregular- taking drugs for less than five days a week for the past two days.
3. Stopped- not taken drugs for last one week.

### Statistical Analysis

Data collected were entered into a Microsoft Excel worksheet and analyzed statistically by using Statistical Package for Social Sciences (SPSS Inc., Chicago, IL). Data were presented as mean, standard deviation, and percentages.

### Results

29 patients were diagnosed with prosthetic valve thrombosis during the study period. Table 1. Shows the clinical characteristics of the patients. The mean age of patients was  $46.6 \pm 13.6$  with an age range of 20-80 years. 59% of patients were females and the majority of patients 82.7% belonged to rural areas, literacy rate was 34.48%. 93.1% of the patients developed thrombosis after more than one year of valve surgery. Thrombosis of the mitral valve was seen in 65% of patients, 28% developed thrombosis of

the aortic valve and 2 patients had thrombosis of the tricuspid valve. All the valves were bileaflet tilting disc type. Dyspnoea was the presenting complaint in all the patients. 23(79.3%) patients presented with NYHA class III symptoms, 4(13.8%) presented with NYHA class IV, and 2(6%) presented with class II symptoms. A suboptimal therapeutic international normalized ratio was found in 86 % of valve thrombosis. NYHA: New York Heart Association, INR: international normalized ratio. Most of the patients had defaulted on their consumption of oral anticoagulant drugs. 16(55%) patients were irregular in drug intake and 6(21%) had completely stopped taking medications. The most common reason for drug default was non-availability in 13 (60%), inaccessibility in 6 (27%), and ignorance in 3 (13%) (Table 2).

22(76%) patients were treated with thrombolytic therapy, 7(24%) patients received Heparin and intensification of anticoagulation. Streptokinase was used as a thrombolytic agent in 18 (82%), tenecteplase, and Alteplase in 2 patients each. 12(66.6%) patients who received thrombolysis with streptokinase had a complete response, 4(22.3%) had a partial response and 2 patients had no response to treatment. Alteplase and Tenecteplase were used in 2 patients. The opening angle and closing angle of the aortic valve were  $48.1 \pm 5.4$  and  $104.1 \pm 5.5$  pre thrombolysis and  $10.7 \pm 0.8$  and  $120.8 \pm 3.6$  respectively post successful lysis. The opening angle and closing angle of the mitral valve were  $48.7 \pm 6.2$  and  $104.3 \pm 4.5$  pre thrombolysis and  $10.9 \pm 0.8$  and  $119.7 \pm 1.3$  respectively post successful lysis. (Table 3) The average mean gradient across stuck aortic valve before thrombolysis was  $45.5 \pm 3.2$  and stuck mitral valve was  $15.9 \pm 4.1$ . Post successful fibrinolysis, the mean gradient across the aortic valve was  $20.5 \pm 1.0$  and mitral valve  $4.0 \pm 1.1$  (Table 4).

**Table 1:** Clinical characteristic of the study patients of PVT

Clinical Characterstic	Numbers(%)
Mean Age (Years)	$46.62 \pm 13.62$ (20-80) )year
Female	17 (59%)
Male	12 (48%)
Literate	10 (34.9%)
Illiterate	19 (65.1%)
Time Between Valve Surgery & PVT $\geq$ 1 YEAR	27 (93%)
Valve Involved	
Aortic	8 (28%)
Mitral	19 (65%)
Tricuspid	2 (7%)
Nyha	
CLASS I	NIL
CLASS II	0.90%
CLASS III	79.30%
CLASS IV	13.80%
Subtherapeutic INR	86%

**Table 2:** Drug compliance and reason of defaults in patients with PVT.

Regularity OF Drug Compliance		
Regular		0.7%
Irregular		16 (55%)
Stopped		06 (21%)
Reason for default		
Nonavailability		13 (60%)
Non Affordability		Nil
Inaccessibility		06 (27%)
Ignorance		03(13%)

**Table 3:** Cine fluoroscopic measurements of PR thrombolysis and post thrombolysis.

Aortic Valve	Pre Thrombolysis	Post Thrombolysis		
		Complete Lysis	Partial Lysis	No Lysis
OPENING ANGLE	48.1±5.4	10.7±0.8	18	42
CLOSING ANGLE	104±5.5	120±3.6	113	111
Mitral valve				
OPENING ANGLE	48.7±6.2	10.6±0.9	18.5±1-9	53±5.3
CLOSING ANGLE	104.3±4.5	119.7±1.3	112±1.6	103±2.6

**Table 4:** Mean gradient measurement of the stuck valve in aortic and mitral position pre and post thrombolysis.

Mean Gradients	Pre Thrombolysis	Post Thrombolysis		
		Complete Lysis	partial lysis	No Lysis
Aortic Valve	45.5±3.2	20.5±1.0	26	49
Mitral Valve	15.9±4.1	4.0±1.1	8.5±1.0	17.0±4.4

18(62%) patients had complete clinical success, 7(24%) had a partial response to treatment, and 4(14%) had no response to thrombolytic therapy. Duration of therapy was 24hrs in 11 patients ,18hrs in 8 patients and 72hrs in 3 patients. 3 patients succumbed to complications, one had massive ICH, and 2 had progressive heart failure. Minor bleeding was seen in 4 patients.

## Discussion

Valvular heart diseases affect more than 100 million people around the globe and are associated with significant mortality and morbidity[3]. Obstruction to prosthetic heart valve could be due to thrombus, pannus formation, vegetation, or patient prosthesis mismatch, Prosthetic Valve thrombosis (PVT) is a pathological entity characterized by thrombus formation on the prosthetic structures, with subsequent PV dysfunction with or without thromboembolism (TE)[6-8]. There is a high prevalence of rheumatic heart disease in young in India and the majority undergo valve replacement surgery at a young age compared to developed countries [9,10]. The mean age of our patients was 46.62±13.6.most of the studies from the Indian continent of PVT belonging to younger age group in 3rd and 4th decade and female dominance [11-15]. Mean age was 58±12 in a study from Montreal [16]. The majority of patients belonged to rural backgrounds and have poor availability of health resources and medical care. This might contribute to drug default by the patient. The majority of patients in our study group were

illiterate which might contribute to ignorance which further lead to failure in regular drug intake and cause them to default the drug regimen. Poor drug compliance also emerged as a significant risk factor in studies done by Durrelman et al reported 10 out of 39 were defaulters and Manjula et al reported 23 out of 26 patients were non-compliant [16,17].

The majority of studies have cited sub-therapeutic INR as a major risk factor for the development of PVT which was mainly due to irregular follow-up and poor treatment compliance. Studies from India show variable rates of inadequate anticoagulation ranging from 41.5 To 90.3% [18-20]. Egbe et al found inadequate anticoagulation in 48% of patients with PVT [21].

All patients of PVT presented with dyspnea and a muffled click on examination. The most common presentation is dyspnoea in the majority of studies. Most of the patients presented with NYHA class III and Class IV symptoms In our series, most patients were in NYHA class III at presentation (79.3%) whereas in series by Nawale et al. maximum patients fell under NYHA class IV (52.4%) [14]. In the series by Durrelman et al, they reported the maximum number of patients were in class III or IV at presentation (87%) [16]. Similarly in the study published by Gupta et al, reported that 46.4% of patients were in NYHA class III and 24.5 % of patients were in NYHA class IV at the time of presentation[18].

The mean time between valve replacement and diagnosis of PVT was 32 months in the current series, ranging between 2 to 60 months. In the series published by Nawale et al, this range was 1 month to 96 months,[14] whereas in the series by Durrleman et al this range was between 0 to 120 months[16]. The Mitral valve was the most common valve involved in all the series followed by the aortic valve and the least commonly involved was the tricuspid valve. Mitral valve PVT is 2-3 times more common than aortic. Nawale et al and Durrleman et al reported thrombosis of both aortic and mitral valves in 9.5% and 15% of patients respectively[14][16]. Although surgery remains the treatment of choice especially left-sided PVT according to the 2007 European society of cardiology and 2008 ACC/AHA guidelines[22,23]. The largest single-center study by Roudaut et al. also showed a better early success rate with surgery and significantly lower complications compared to fibrinolysis[24-29]. Advantages of fibrinolysis include easy availability, relatively low cost, and its simplicity in administration. In a review by Lim et al. involving eight large studies determining the outcome of fibrinolysis in PVT, the success rate of fibrinolytic therapy varied from 58 % to 90%, and the rate of complication was variable[30-33]. Thromboembolism ranged from 1.7% to 17.6% and bleeding ranged from 1.7 to 12 %. The data from Indian studies also showed a success rate of 59% to 100 % with an overall success rate of around 78.1% and mortality rate ranged from 1.9 to 9.5 % with an overall rate of around 5.4%. The rate of major complications ranged from 8.4%to 18.7% and minor complications from 2.3%to 25%[19][22][23][25][26]. In our study complete clinical success was seen in 62%, partial clinical success in 24% with in-hospital mortality 10.3(%). One patient died of massive ICH and 2 died of progressive heart failure. No patient had an embolic complication. Streptokinase had been used as a first-line drug in most studies[19] [23-26]. Newer fibrinolitics are also equally efficacious for the treatment of PVT [26][31][32].

Streptokinase was used in the majority of patients 66.7% and tenecteplase and reteplase was used in 2 patients each. In a study conducted by Kathirvel et al. 76.9 % of patients were thrombolysed with STK and 23.1 percent were thrombolysed with TNK[26]. In the study conducted by Hiracharan et al. 86.9 % of patients were thrombolysed with STK and 13.1% were thrombolysed with TNK[18]. In our study, 62.1% of patients were thrombolysed with STK and 6.9 % were thrombolysed with TNK; 6.9 % were thrombolysed with alteplase and 24.1 % of patients were managed with the intensification of thrombolysis. In the current series whenever STK was given, 2.5 lakh IU intravenous bolus for 30 minutes followed by slow intravenous infusion at the rate of 1 lakh IU/hr was administered. Extended thrombolysis up to 72 hours was instilled in case of failed response. Total response with streptokinase was observed, in 81% of patients in a study conducted by Sharma et al[33]. 66.6%of our patients showed complete response to thrombolysis. 76.2% and77.5% of patients showed complete response to thrombolytic therapy in studies conducted by Nawale et al Kathirvel et al [18][26].

## Study Limitations

This was a single-center, prospective observational study with a relatively small number of patients. The newer thrombolytic agent was used in small numbers of patients

## Conflicts Of Interest

There are no conflicts of interest.

## Ethical Approval

The study was approved by the appropriate institutional ethics committee and has been performed as per the ethical standards as laid down in the Declaration of Helsinki.

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